# Design of Q-band Broadband Rectangular Waveguide TE<sub>10</sub> Mode to Circular Waveguide TE<sub>01</sub> Mode Converter

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Abstract-In this paper, a novel design of rectangular  $TE_{10}$  mode to circular TE<sub>01</sub> mode waveguide converter with compact structure is presented. To begin with, the theoretical analysis is provided. Based on the principle of waveguide mode converter, a creative project with crisscross structure is obtained. As is shown in the project, this kind of waveguide mode converter totally consists of three segments. The first segment performs the transformation from rectangular TE<sub>10</sub> mode to rectangular TE<sub>20</sub> mode, and the second accomplishes the conversion from rectangular TE<sub>20</sub> mode to TE<sub>22</sub> mode of the crisscross waveguide, while the last section achieves the switching from TE22 mode to circular TE01 mode. According to the project suggested above, the 3D model of the converter is established in the powerful commercial software named by HFSS where the simulation and optimization of the waveguide converter is completed. Remarkable results are obtained via abundant simulation and optimization of the critical geometric dimension of the mode converter. The conversion efficiency of the converter over 33GHz to 50 GHz is considerably perfect approaching an average level at 99.9%, while the return loss is generally below -25dB.

## INTRODUCTION

In recent years, domestically and abroad, plenty of magnificent progresses are achieved in the design and experiment of the gyrotrons and gyro-amplifiers (including gyro-TWTs and gyro-klystrons that generally operate at  $TE_{01}$  mode [1]. During transmitting in the circular waveguide, the  $TE_{01}$  mode has pretty small attenuation, which is of great significance when  $TE_{01}$  mode is applied as the transmitting mode for long distance transmission and as the operating mode of high-Q resonance cavity.

In the design of gyrotrons and gyro-amplifiers operating at  $TE_{01}$  mode, it is considerably necessary to perform the cold test experiment to ensure the property of the interaction circuit system of these devices, including testing the resonant frequency, Q-value of the resonance cavity [2], the transmission and reflection characteristics of the input couplers and the output windows [3]. As far as we know, the primary operation mode of dominant microwave sources in the laboratories is rectangular  $TE_{10}$  mode. Thus, it is of remarkable value to design more perfect converters to complete the transformation from rectangular  $TE_{10}$  mode to circular  $TE_{01}$  mode [4].

From the study of the conventional research of the circular waveguide  $TE_{01}$  mode converters, a fact is obtained that there are mainly three forms of the  $TE_{01}$  mode converter, namely fan-shaped converter, turning magnetic surface

excitation converter and the sidewall coupling converter [5],[6]. The fan-shaped mode converter is produced by electrotyping technology, resulting the high cost and the complexity of manufactory [7], [8]. What's worse, the conversion efficiency is absolutely low and there are spurious modes during the transformation. Considering the different direction of the input and output of the magnetic surface excitation mode converter, it is not convenient for the system to be assembled. The arc-shaped structure of the second kind mode converter is difficult to be produced and its bandwidth is narrow [9-11]. While sidewall coupling mode converter achieves high conversion efficiency and broad bandwidth and has compact structure, due to the Y-type power divider network, the product technology demand is severe.

In order to meet the demand of cold test experiment in Q-Band, the principle and design scheme of broad bandwidth mode converter from rectangular  $TE_{10}$  mode to circular  $TE_{01}$ mode with compact structure is presented in the paper [12]. Based on the design scheme, 3D model is built in the popular commercial electromagnetic simulation software HFSS. In the paper, the first part is the theoretical analysis and design scheme of the  $TE_{01}$  mode converter, the second is the simulation results and the relative analysis and the third is the conclusion of the work.

# DESIGN AND ANALYSIS OF THE TE<sub>10</sub>-TE<sub>01</sub> WAVEGUIDE MODE CONVERTER

### A. The principle of the mode converter

To ensure that the rectangular waveguide  $TE_{10}$  mode is efficiently coupled into the circular waveguide  $TE_{01}$  mode and the waveguide wavelength is kept unchanged along the whole length of the device in the operating band, the physical structure of the converter needs to satisfy certain conditions that the structure of the converter must have ideal symmetry and the transition process between different parts should be as level and smooth as possible. Only by this method, can the perfect result be acquired that the purity of the circular  $TE_{01}$  mode is ensured and the conversion loss becomes low. In order to produce the converter successfully and easily, there is some necessary compromise between theoretical design and actual fabrication.

# B. The design scheme of the $TE_{10}$ - $TE_{01}$ mode converter

The whole structure of the mode converter mainly consists of three sections. The structure of the first part is linearly gradient from rectangular waveguide to T-shaped waveguide, and then to another rectangular waveguide, realizing the conversion from rectangular TE<sub>10</sub> mode to rectangular TE<sub>20</sub> mode. The second one adopts the structure of a rectangular waveguide linearly gradient to the crisscross waveguide, completing the transformation from rectangular  $TE_{20}$  mode to the crisscross waveguide  $TE_{22}$  mode. The last one is formed by crisscross waveguide linearly gradient to circular waveguide, achieving the change from crisscross  $TE_{22}$  mode to circular waveguide  $TE_{01}$  mode. The 3D model of the mode converter based on crisscross structure is shown in figure 1. Through the transformation of the three segments, the conversion from rectangular TE<sub>10</sub> mode to circular TE<sub>01</sub> mode is achieved. And the mode conversion sequence is presented in figure 2.



Fig. 2 The mode changing sequence of TE10-TE01 mode converter

TE20

TE10

T-mode



Fig. 3 The electric field distribution of the output port of the first part

# The simulation results and the analysis of the $\ensuremath{\text{Te}_{10}}\xspace$ mode converter

The simulation is performed in HFSS by building the 3D model in the simulation software. According to the technical index, it is significantly important to minimize the length of the converter on the condition that the conversion efficiency is kept

perfect in the operation bandwidth. Every length of the three parts of the converter is built as an optimization variable that can be scanned to determine the most optimized length of each segment. As is shown in the following figures, better optimized results are presented.



Fig. 4 The transmission/reflection parameter of the first part (a) The transmission parameter (b) The reflection parameter

In figure 3, the electric distribution of the output port of the first part is given, from which a conclusion can be acquired that the input  $TE_{10}$  mode is efficiently converted into  $TE_{20}$  mode.

As is shown in figure 4, the transmission and the reflection characteristic is presented. Without the loss in the conversion considered, the  $S_{11}$  is below -35dB in the whole Q-band, identifying the energy of TE<sub>10</sub> mode reflected is less than one thousandth and the transmission energy is above 99.9%. Therefore, TE<sub>10</sub> mode is considerably converted into TE<sub>20</sub> mode.

Figure 5 is the electric field distribution of the output port of the second section of the converter. As the figure 5 shows, after transmitting in the second section,  $TE_{20}$  mode is converted to crisscross  $TE_{22}$  mode with high purity.

What's is shown in Figure 6 is the  $S_{21}$  parameter and  $S_{11}$  parameter of the second section. The figure 6 presents that  $S_{11}$  parameter of TE<sub>20</sub> mode is less than -35dB and  $S_{21}$  is better than -0.0012dB in the whole Q-band, demonstrating that the transmitting energy is nearly 99%. Therefore, most of the energy of rectangular waveguide TE<sub>20</sub> mode is successfully converted into the crisscross waveguide TE<sub>22</sub> mode.



Fig. 5 The electric field distribution of the output port of the second part



Fig. 7 The electric field distribution of the output port of the third part



Fig. 6 The transmission/reflection parameter of the second part (a) The transmission parameter (b) The reflection parameter



Fig. 8 The transmission/reflection parameter of the third part (a) The transmission parameter (b) The reflection parameter

Figure 7 is electric field distribution of the output port of the third section, showing that crisscross waveguide  $TE_{22}$  mode is transformed into circular waveguide  $TE_{01}$  mode with high purity. As is shown in figure 8, the  $S_{21}$  parameter from  $TE_{22}$  mode to  $TE_{01}$  mode is above -0.018dB, while the  $S_{11}$  parameter of the  $TE_{22}$  mode is generally below -35dB, identifying that  $TE_{22}$  mode is efficiently converted into  $TE_{01}$  mode.

When the optimization of each of the three sections is completed, the whole  $TE_{10}$ - $TE_{01}$  mode converter is assembled. As the figure 9 shows, the final field distribution of the output of the mode converter is vividly presented, indicating that the  $TE_{10}$  mode is efficiently converted to  $TE_{01}$  mode. And as the figure 10 presents, both the  $S_{11}$  parameter of the  $TE_{10}$  mode and the  $S_{21}$  parameter from  $TE_{10}$  mode to  $TE_{01}$  mode are perfect enough to meet the demand of the  $TE_{10}$ - $TE_{01}$  mode converter.



Fig. 9 The electric field distribution of the output port of the whole converter





Fig. 10 The transmission/reflection parameter of the whole converter (a) The transmission parameter (b) The reflection parameter

### **CONCLUSIONS**

The design of a broad-band  $TE_{10}$ - $TE_{01}$  mode converter in Q-band is presented in the paper. Through plenty of simulation and optimization of the converter model by FEM (finite element method) in HFSS, a good result is obtained. As the simulation and optimization results shows, the conversion efficiency is more than 99% while the return loss is below -25dB. At the same time, the work presented in the paper can also supply some suggestion in the research of other mode converters.

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