# VIII. BUDGET

This is a three year proposal for continuation of activities currently supported by the National Science Foundation under two grants: PHY-8504136 to the California Institute of Technology (Drever, PI) and PHY-8504836 to the Massachusetts Institute of Technology (Weiss, PI). The proposed activities, combining the resources of both institutions under the management of the Director, will lead to a proposal for the construction of the LIGO, which we expect to submit in Fall 1989. When funded, the anticipated construction contract will replace the funding requested for the third year of the current proposal.

The Director will control the LIGO effort through technical and administrative direction, implemented by adjusting the allocation of manpower and financial resources as required to meet the needs and commitments of the LIGO Project. A baseline budget plan is given in the tables below, organized into three operating cost centers. A summary of the total budget request is shown in Table I. Table II gives a detailed breakdown for LIGO Science, Management and Engineering; this cost center includes the Director's Office, analytical science support, the engineering group, and supporting services from the Caltech's JPL, industrial and private consultants and the Preliminary Engineering Design contractor. Tables III and IV give detailed breakdowns for the Caltech and MIT science groups, respectively, including receiver research and science support for LIGO planning.

The Tables are followed by a presentation of budget details in the NSF standard format. For administrative and management reasons, the support for the MIT research group is shown as a subcontract to Caltech on line G5 of these forms. The reader is cautioned, therefore, that an accurate impression of the level of effort proposed is to be found in Tables II, III, and IV; sections A and B of the standard NSF format, while contractually correct, may be misleading.

Explanatory notes and supporting data follow the NSF budget forms. The following general notes apply to all tables and to the NSF format budget presentation:

Inflation. Entries are based upon actual, calculated or estimated FY88 data, as appropriate, and adjusted for inflation into the grant period of performance at a rate of 6% per year, except where otherwise noted.

Salaries. The staff for the LIGO Project is listed in Section VII. Actual FY88 salaries are used for existing personnel and estimates are used for salaries of personnel to be appointed. Salaries for Drs. Thorne and Tinto are paid fully by Caltech and Thorne's separate NSF grant, and are not included here.

Consultants. This item is used generically to identify and segregate anticipated costs associated with Design Review Board appointees, including travel cost reimbursement, and private or industrial consultants employed to resolve technical problems which arise outside of the scope of the preliminary engineering design subcontract. Design Review Board members will be selected from among technical experts in relevant disciplines and may be employees of Government or industry, or may be private consultants. Formal arrangements with these individuals or their employers will be made on a case-by-case basis, in accordance with all applicable NSF and FPR policies and regulations.

MIT subcontract. Level of effort, rates and amounts shown are budgetary estimates based upon informal discussions with MIT. Details are given in the budget explanatory notes. MIT will submit a proposal to Caltech supporting and justifying all proposed costs, and the resulting negotiated contract will reflect such supporting data.

Preliminary engineering design subcontract. The scope and intent of this proposed subcontract is described in Section VI. A budgetary estimate of \$3 million is included for this effort. This amount is based upon preliminary discussions with potential contractors and other users of A&E contractor services, as well as our own experience. Actual costs of the preliminary engineering design contract will depend on the selected contractor's labor and burden rates, proposed methods of approaching the design, and risk/cost trade-offs in the breadth, depth and quality of the work products. As explained in section VI-F above, the contractor will be competitively selected, and the contract will be a negotiated fixed price level of effort type to allow us to exercise risk/cost trade-offs as the work proceeds while giving us full control over contract costs.

### Residual Funds Statement

We anticipate no residual funds in either the Caltech grant or the MIT grant at the end of the current funding period.

TABLE I: TOTAL BUDGET SUMMARY (in ≸K)

	6/88- 5/89	6/89- 5/9 <b>0</b>	6/9 <b>0</b> - 5/91	Total
LIGO Science, Management and Engineering	5216.3	2186.1	1846.5	924 <b>8.</b> 9
Caltech Science Group	13 <b>06.5</b>	1370.2	1501.1	4177.8
MIT Science Group	1364.8	1561.6	1765.4	4691.7
TOTAL:	7887.4	5117.8	5113.0	18118.4

TABLE II:
LIGO SCIENCE, MANAGEMENT AND ENGINEERING

	6/88- 5/89	6/89- 5/9 <b>0</b>	6/9 <b>0</b> - 5/91	Total
Manloading (no./yr.)	*** *** *** ***	manmc	onths	cost costs costs costs costs costs
Professorial Faculty (2,2,2) Professional/Tech. Staff (8,8,8) Secretarial/Clerical (2,2,2)	96 15	96 15	96 15	288 45
Salaries:		COSTS	5 (\$K)	
odiafies:				
Professorial Faculty Professional/Tech. Staff Secretarial/Clerical	83.2 482.6 34.3	88.2 511. <b>5</b> 3 <b>6.4</b>	542.2	
Total salaries	600.1	<b>636.</b> 1	674.3	1910.5
Staff Benefits	177.0	187.6	198.9	563.6
Supplies and expenses:				
Materials and services Graphic Arts Telephone and Postage Equipment maintenance/rental Publications	31.2 16.6 12.5 20.8 2.1	33.1 17.6 13.2 21.6 2.2	35.1 18.7 14.0 22.9 2.3	99.4 52.9 39.7 65.3 6.6
Total supplies and expenses	83.2	87.7	93.0	263.9
Domestic Travel	60.2	61.6	65.4	187.2
Foreign Travel	9.4	9.9	10.5	29.8
Equipment	300.0	200.0	200.0	700.0
JPL Engineering Support	260.0	275.0		535.0
Consultants	100.0	100.0		200.0
Engr'g Design Subcontract	3000.0			3000.0
TOTAL DIRECT COST	4589.9	1557.9	1242.1	7390.0
Overhead	626.4	628.1	604.4	1858.9
TOTAL ESTIMATED COST	5216.3	2186.1	1846.5	9248.9

TABLE III:
CALTECH SCIENCE GROUP

	6/8 <b>8</b> -	6/89-	6/9 <b>0</b> -	
	5/89	5/90	5/91	Total
Manloading (no./yr.)		мап-мо	nths	
Professorial Faculty (2,2,2)				
Scientific Staff (5,6,7)	60	72	84	216
Professional/Tech. Staff (3,3,3)	36	<b>36</b>	3 <b>6</b>	108
Secretarial/Clerical (1,1,1)	6	. 6	. 6	18
Graduate Res. Ass't. (4,5,5)	48	60	60	168
Undergrad. Res. Ass't (3,3,4)	18	18	24	60
		CUSTS	i (\$K)	
Salaries:		00011	, ,4,,,	
			<b>50</b> /	140.0
Professorial Faculty	46.8	49.6	52.6	149.0
Scientific Staff	187.8	230.8	273.4	692.0
Professional/Tech. Staff	122.4	129.8		387.7
Secretarial/Clerical	11.6	12.3	13.1	37.0
Graduate Res. Ass't.	45.8	6 <b>0.6</b> 23.2	64.3 70.7	170.7
Undergrad. Res. Ass't	21.8	23.2	32.7	77.7
Total salaries	436.2	506.3	573.6	1516.1
Staff Benefits	122.2	142.5	159.6	424.3
Supplies and expenses:				
Materials and services	53.6	57.8	61.3	172.7
Graphics Arts	4.2	5.4	5.7	15.3
Telephone and Postage	4.2	4.4	4.7	13.3
Publications	2.1	2.2	2.3	6.6
Total supplies and expenses	64.1	69.8	74.0	207.9
Domestic Travel	11.4	12.1	12.8	34.3
Foreign Travel	3.1	9.9	3.5	16.5
Equipment	300.0	200.0	200.0	700.0
TOTAL DIRECT COST	937.0	940.6	1023.5	2901.1
Overhead	<b>369.5</b>	429.6	477.6	1276.7
TOTAL ESTIMATED COST	1306.5	1370.2	1501.1	4177.8

TABLE IV:

	6/88- 5/8 <b>9</b>	6/89- 5/9 <b>0</b>	6/9 <b>0-</b> 5/91	Total
Manloading (no./yr.)	nowing desire waters and	man-mo	onths	
Professorial Faculty (2,2,2)				
Scientific Staff (5,5,6)	54	<b>60</b>	72 7	186
Professional/Tech. Staff (2,3,3) Secretarial/Clerical (1,1,1)	24 6	3 <b>6</b> 6	36 6	96 18
Graduate Res. Ass't. (5,6,7)	60	72	84	216
Undergrad. Res. Ass't (3,3,3)	9	9	9	27
Other	9.7	10.4	10.5	30.6
Salaries:		COSTS	6 (\$K)	
9-1	AF A	574 A	70 -	A PROPER TOP
Professorial Faculty Scientific Staff	25.8 175.9	· 36.2 195.7	38.3 242.5	1 <b>00.</b> 3 614.1
Professional/Tech. Staff	70.7	117.0	126.1	315.8
Secretarial/Clerical	11.9	12.6	13.3	37.8
Graduate Res. Ass't.	57.8	76.1	94.1	230.0
Undergrad. Res. Ass't	11.0	11.6	12.3	
Other	39.7	48.6	55.8	144.1
Total salaries	394.8	499.8	582.4	1477.0
Staff Benefits	152.4	195.3	228.0	575.7
Supplies and expenses:				
Materials and services	54.4	67.4	77.4	199.2
Telephone and Postage	8.4	8.4	8.4	25. <b>2</b>
Publications	2.6	2.0	2.0	6.0
Allocated expense	8,5	10.0	10.6	29.1
Total supplies and expenses	73.3	87.8	98.4	259.5
Domestic Travel	44.0	46.0	48.0	138.0
Foreign Travel	3.1	9.9	3.5	16.5
Equipment	300.0	200.0	200.0	700.0
•	- 10 - 10 - 10 - 10 - 10 - 10 - 10 - 10			
TOTAL DIRECT COST	967.6	1038.8	1160.3	3166.7
Overhead	397.2	522.8	6 <b>0</b> 5.0	1525.0
TOTAL ESTIMATED COST		1561.6	1765.4	4691.7

CUMULATIVE BUDGET - 6/88-5/91 (SEE INSTRUCTIONS ON SUMMARY 6/1/88 - 5/31/91 PROPOSAL BUDGET REVERSE BEFORE FOR NSF USE ONLY COMPLETING) DURATION (MONTHS) California Institute of Technology PRINCIPAL INVESTIGATOR/PROJECT GIRECTOR Proposed Grented AWARD NO. FUNOS GRANTED BY NSF (IF DIFFERENT) FUNDS REQUESTED BY PROPOSER A. SENIOR PERSONNEL: PI/PD, Co-PI's, Faculty and Other Senior Associates (List each separately with title; A.6. show number in brackets) Person Reg. CAL. ACADISUMP R.E. Vogt Professor of Physics R.W.P. Drever Professor of Physics 2Co→I K.S. Thorne Professor of Theoretical Physics 3Co-I Professor of Physics, MIT (all MIT costs shown on line 4Co−I R. Weiss 5. ( 2) OTHERS (LIST INDIVIDUALLY ON BUDGET EXPLANATION PAGE) ( 6 ) TOTAL SENIOR PERSONNEL (1-5) 413,900 B. OTHER PERSONNEL (SHOW NUMBERS IN BRACKETS) 216 692,000 ) POST DOCTORAL ASSOCIATES 2. ( ) OTHER PROFESSIONALS (TECHNICIAN, PROGRAMMER, ETC.) 396 926.000 3, ( ) GRADUATE STUDENTS 170,700 77,700 4. ( ) UNGERGRADUATE STUDENTS S. ( ) SECRETARIAL-CLERICAL 146,300 OTHER 6. ( TOTAL SALARIES AND WAGES (A+B) 3,426,600 C. FRINGE BENEFITS (IF CHARGED AS DIRECT COSTS) 29.5% excluding undergraduates 987,926 TOTAL SALARIES, WAGES AND FRINGE BENEFITS (A+6+C) 4.414.526 D. PERMANENT EQUIPMENT (LIST ITEM AND DOLLAR AMOUNT FOR EACH ITEM EXCEEDING \$1,000:) TOTAL PERMANENT EQUIPMENT 400.000 E. TRAVEL 1. DOMESTIC (INCL. CANADA AND U.S. POSSESSIONS) 223,500 2. FOREIGN 46,300 F. PARTICIPANT SUPPORT COSTS 1. STIPENOS 2. TRAVEL 3. SUBSISTENCE 4. OTHER TOTAL PARTICIPANT COSTS G. OTHER DIRECT COSTS 1. MATERIALS AND SUPPLIES 458,600 2. PUBLICATION COSTS/PAGE CHARGES 13,200 3. CONSULTANT SERVICES 200,000 4. COMPUTER (ADPE) SERVICES MIT, Preliminary Engineering Design 5. SUBCONTRACTS 7,691,718 JPL Support Work Order 535,000 TOTAL OTHER DIRECT COSTS 8,898,518 H. TOTAL DIRECT COSTS (A THROUGH G) 14,982,844 i. INDIRECT COSTS (SPECIFY) 58% of T.D.C. excluding equipment, JPL work order and subcontracted amounts beyond first \$25,000 of each subcontract (2) TOTAL INDIRECT COSTS 3,135,553 J. TOTAL DIRECT AND INDIRECT COSTS (H + I) 18,118,396 K. RESIDUAL FUNDS (IF FOR FURTHER SUPPORT OF CURRENT PROJECTS SEEGPM 282 AND 283) -0-L. AMOUNT OF THIS REQUEST (J) OR (J MINUS K) \$18,118,396 PI/PD TYPED NAME & SIGNATURE\* DATE FOR NSF USE ONLY INDIRECT COST RATE VERIFICATION INST. REP. TYPED NAME & SIGNATURE" DATE Date of Rate Sheet Initials - DGC

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SIGNATURES REQUIRED ONLY FOR REVISED

BUDGET (GPM 233)

ISEE INSTRUCTIONS ON SUMMARY FIRST YEAR FIRST YEAR-6/88 - 5/89 REVERSE BEFORE COMPLETING) 6/1/88 - 5/31/89PROPOSAL BUDGET FOR NSF USE ONLY ORGANIZATION PROPOSAL NO. DURATION (MONTHS) California Institute of Technology Proposed Grented PRINCIPAL INVESTIGATOR/PROJECT DIRECTOR AWARD NO. R.E. Vogt A. SENIOR PERSONNEL: PI/PD, Co-PI's, Faculty and Other Senior Associated (List each separately with title; A.S. show number in brackets) PERSON MOS. REQUESTED BY GRANTED BY NSF CAL. ACADISUMA R.E. Vogt Professor of Physics \$ 2.Co-I R.W.P. Drever Professor of Physics 3. Co-I K.S. Thorne Professor of Theoretical Physics 4.Co→I R. Weiss Professor of Physics, MIT (all MIT costs shown on line G5) 5. ( 2 ) OTHERS (LIST INDIVIDUALLY ON BUDGET EXPLANATION PAGE) ( 6) TOTAL SENIOR PERSONNEL (1-5) 130,000 B. OTHER PERSONNEL (SHOW NUMBERS IN BRACKETS) 1. ( 5 ) POST OOCTORAL ASSOCIATES 60 187,800 2. ([1] OTHER PROFESSIONALS (TECHNICIAN, PROGRAMMER, ETC.) 132 605,000 3. ( 4 ) GRADUATE STUDENTS 45,800 4. ( 3 ) UNDERGRADUATE STUDENTS 21,800 5. ( 3 ) SECRETARIAL-CLERICAL 45,900 6. ( ) OTHER TOTAL SALARIES AND WAGES (A+8) 1,036,300 299,278 C. FRINGE BENEFITS (IF CHARGED AS DIRECT COSTS) 29.5% excluding undergraduates TOTAL SALARIES, WAGES AND FRINGE BENEFITS (A+6+C) 1,335,578 D. PERMANENT EQUIPMENT (LIST ITEM AND DOLLAR AMOUNT FOR EACH ITEM EXCEEDING \$1,000:) TOTAL PERMANENT EQUIPMENT See budget explanation page 2 600,000 E. TRAVEL 1. DOMESTIC (INCL. CANADA AND U.S. POSSESSIONS) 71,600 12,500 2. FOREIGN See budget explanation page 3 F. PARTICIPANT SUPPORT COSTS 1. STIPENOS \$ 2. TRAVEL 3. SUBSISTENCE \_ 4. OTHER TOTAL PARTICIPANT COSTS G. OTHER DIRECT COSTS 1. MATERIALS AND SUPPLIES 143,100 2. PUBLICATION COSTS/PAGE CHARGES 4,200 3. CONSULTANT SERVICES 100,000 4. COMPUTER (AOPE) SERVICES s. SUBCONTRACTS MIT, Preliminary Engineering Design 4,364,772 JPL Support Work Order 260,000 4,872,072 TOTAL OTHER DIRECT COSTS H. TOTAL DIRECT COSTS (A THROUGH G) 6,891,750 i. INDIRECT COSTS (SPECIFY) 58% of T.D.C. less equipment, JPL work order, and subcontracted amounts beyond first \$25,000 of each subcontract (2) TOTAL INDIRECT COSTS 995,847 J. TOTAL DIRECT AND INDIRECT COSTS (H + I) 7,887,596 K. RESIDUAL FUNDS (IF FOR FURTHER SUPPORT OF CURRENT PROJECTS SEEGPM 252AND 253) 7,887,596 L. AMOUNT OF THIS REQUEST (J) OR (J MINUS K) PI/PD TYPED NAME & SIGNATURE DATE FOR NSF USE ONLY INDIRECT COST RATE VERIFICATION INST. REP. TYPED NAME & SIGNATURE\* DATE Date of Rate Sheet Initials - DGC SIGNATURES REQUIRED ONLY FOR REVISED NSF Form 1030 (1-87) Supersedes All Previous Editions

SUDGET (GPM 233)

BUDGET (GPM 233)

NSF Form 1030 (1-87) Supersedes All Previous Editions

SEE INSTRUCTIONS ON THIRD YEAR THIRD YEAR-6/90 - 5/91 SUMMARY 6/1/90 - 5/31/91PROPOSAL BUDGET COMPLETING) FOR NSF USE ONLY ORGANIZATION PROPOSAL NO. OURATION (MONTHS) California Institute of Technology Proposed Granted PRINCIPAL INVESTIGATOR/PROJECT DIRECTOR AWARD NO. R.E. Vogt A. SENIOR PERSONNEL: PI/PD, Co-Pi's, Faculty and Other Senior Associates FUNDS GRANTED BY NSF (IF DIFFERENT) Person Red REQUESTED SY PROPOSER (List such separately with title; A.S. show number in brackets) CAL. ACADSUMR 1. PI and PD R.E. Vogt Professor of Physics \$ 2. Co-I R.W.P. Drever Professor of Physics 3. Co-I K.S. Thorne Professor of Theoretical Physics 4. Co-I R. Weiss Professor of Physics, MIT (all MIT costs shown on line G) 5. ( 2 ) OTHERS (LIST INDIVIDUALLY ON BUDGET EXPLANATION PAGE) ( 6 ) TOTAL SENIOR PERSONNEL (1-5) 146,100 B. OTHER PERSONNEL (SHOW NUMBERS IN BRACKETS) 1. ( 7) POST DOCTORAL ASSOCIATES 84 273,400 2. ( []) OTHER PROFESSIONALS (TECHNICIAN, PROGRAMMER, ETC.) 132 679.700 3. ( 5) GRADUATE STUDENTS 64,300 4. ( 4) UNGERGRADUATE STUDENTS 32.700 5. ( 3) SECRETARIAL-CLERICAL 51,700 6. ( ) OTHER TOTAL SALARIES AND WAGES (A+B) 247,900 C. FRINGE SENEFITS (IF CHARGED AS DIRECT COSTS) 29,5% excluding undergraduates 358,484 TOTAL SALARIES, WAGES AND FRINGE BENEFITS (A+6+C) 1,606,384 D. PERMANENT EQUIPMENT (LIST ITEM AND DOLLAR AMOUNT FOR EACH ITEM EXCEEDING \$1,000:) See budget explanation page 2 TOTAL PERMANENT EQUIPMENT 400,000 E. TRAVEL 1. DOMESTIC (INCL. CANADA AND U.S. POSSESSIONS) 78,200 2. FOREIGN 14,000 See budget explanation page 3 F. PARTICIPANT SUPPORT COSTS 1. STIPENOS 2. TRAVEL 3. SUBSISTENCE 4. OTHER TOTAL PARTICIPANT COSTS G. OTHER DIRECT COSTS 1. MATERIALS AND SUPPLIES 162,400 2. PUBLICATION COSTS/PAGE CHARGES 4.600 3. CONSULTANT SERVICES 4. COMPUTER (AOPE) SERVICES 5. SUBCONTRACTS Massachusetts Institute of Technology 1.765.354 6. OTHER TOTAL OTHER DIRECT COSTS 1.932.354 H. TOTAL DIRECT COSTS (A THROUGH G) 4,030,938 1. INDIRECT COSTS (SPECIFY) 58% of T.D.C. less equipment and subcontracted amounts (first \$25,000 incurred during first grant year). TOTAL INDIRECT COSTS 1,082,039 J. TOTAL DIRECT AND INDIRECT COSTS (H + 1) 5,112,977 K. RESIDUAL FUNDS (IF FOR FURTHER SUPPORT OF CURRENT PROJECTS SEEGPM252 AND 253) -0-L. AMOUNT OF THIS REQUEST (J) OR (J MINUS K) s 5,112,977 PI/PO TYPED NAME & SIGNATURE DATE FOR NSF USE ONLY INDIRECT COST RATE VERIFICATION INST. REP. TYPED NAME & SIGNATURE" DATE Date of Rate Sheet Initials - DGC NSF Form 1030 (1-87) Supersedes All Previous Editions SIGNATURES REQUIRED ONLY FOR REVISED

BUDGET (GFM 200)

Budget explanation page 1

Line A4 - Prof. R. Weiss, MIT

All MIT costs, including salary for professorial faculty, are shown on line 65.

Line A5 - Other Senior Personnel:

1. Assistant Professor, Caltech

(to be appointed; a search is currently in progress with the approval and assistance of the Caltech administration.)

2. Assistant Professor, MIT

(to be appointed; a search is currently in progress with the approval and assistance of the MIT administration.)

(Note that all MIT costs are shown on line G5.)

Line A6 - Total Senior Personnel

In accordance with Caltech policy, individual faculty manmonths and salary data are furnished under separate cover to the NSF.

Lines B1-B6 - Other Personnel:

Staff are listed in section VII.

Budget explanation page 2	Supportir	ng detail,	line D
Line D - Equipment: (see discussion in sections VI-A,B of text)	year 1	year 2	year 3
1. Computing and data acquisition equip.			
Discless engineering work stations (6/yr)	30000	30000	
Color engineering work station with disc	16500	16500	
3D mechanical design software package	50000		
Upgrades for data acquisition computer - Additional memory, 300 Mbyte hard disc, ethernet communication board	30000		
CAMAC data acquisition modules, bin	85000	45000	25000
Ancillary electronics	7000	5000	1500
2. Upgrades to the 40 meter vacuum system			
4' diameter end tanks (2)	40000		
24" diameter beam pipe	70000		
24" gate valves	40000		
Seismic monitor beam pipes	10000		
Other vacuum components, pumps, etc.	10000	12000	12000
3. Optics, mirrors, test masses			
set of 4" dia. masses (9 mirrors)	45 <b>000</b>	65 <b>000</b>	65 <b>000</b>
set of 8" (4 km size) masses		100000	170000
<pre>small low-loss mirrors (mode   cleaners, beam steering, etc.)</pre>	3 <b>7500</b>	375 <b>00</b>	375 <b>00</b>
Argon lasers and tubes	65000	650 <b>00</b>	65000
Small optical components	24 <b>000</b>	24000	24000
Line D - Total equipment:	600000	400000	400000

Supporting detail, lines E Budget explanation page 3 year 2 year 1 year 3 Estimated Line E1 - Domestic Travel: base cost per trip\* (FY88 \$) 2000 1. Trips to NSF, Wash., DC 24900 26400 28000 4 trips/yr, 3 people 2000 2. Trips to MIT 24900 26400 28000 6 trips/yr, 2 people 1500 3. Trips to Design Contractor 18700 4 trips, 3 people 2000 4. Trips to LIGO sites 17600 18700 2 trips/yr, 4 people 5. Trips to scientific conferences 1500 3500 3300 3100 1 trip/yr, 2 people 73700 78200 71600 Line El Total: Line E2 - Foreign Travel: 1. Trips to potential European 3000 LIGO collaborators 9900 10500 9400 1 trip/yr, 3 people 2. Trips to Int'l conferences 3000 3500 3100 1 trip, 1 person (years 1 & 3) 9900 1 trip, 3 people (year 2) 19800 14000 12500 Line E2 Total: \* Trip base costs are estimated as follows: Chicago Wash,DC Munich Bangor Boston Destination FRG MN MA IL 2234 780 1236 1394 1260 R/T coach air fare 250 Hotel, 5 nites @ \$50 500 500 500 Hotel, 5 nites @ \$100 140 140 140 per diem, 5 days @ \$28 140 660 per diem, 5 days @ \$132 200 Rental car, 5 days € \$40 106 100 80 124 16 Ground trans., misc. exp. 3000 1500 2000 2000 2000 Total trip cost

Budget explanation page 4	Supporti	ing detail	., G1-G3
	year 1	year 2	year 3
Line G1 - Materials and Supplies:	Estin	nated amou	ınts
1. Office supplies	13700	157 <b>00</b>	16500
<ol><li>Graphic arts - copying, illustration and engineering repro services</li></ol>	20800	23000	24400
3. Telephone and postage	16700	17600	18700
4. Computer supplies - tapes, printer toner and paper, etc.	2 <b>0800</b>	22000	23400
5. Equipment maintenance – computer maintenance, copy and FAX machine rental	20 <b>900</b>	21600	229 <b>00</b>
<ol> <li>Miscellaneous lab supplies – elect. components, liquid nitrogen, shop materials, etc.</li> </ol>	25000	26400	28100
7. Small equipment purchases (< \$500) - elect. and mech. components, mirror mounts, etc.	156 <b>00</b>	16500	17500
8. Machine shop services - @ \$30/hr. (FY88 rate)	9700	10300	10900
Line G1 Total:	143100	153100	162400
Line G2 - Publications: Page charges: est. 40 pages per year at \$100 (FY88) per page	4200	4400	4600
Line G3 - Consultants: (see remarks in text)			•
<ol> <li>Design reviews -         Assume 3 reviews/yr, 10 people each,         1 week duration; assume 5 people travel         from East coast; use \$250/m-day,         \$2000/m-trip</li> </ol>	475 <b>00</b>	675 <b>00</b>	
2. Special problem studies	325 <b>00</b>	32500	
Line G3 Total:	100000	100000	

Budget explanation page 5		Supporting	detail,	Line 65
MIT Subcontract (see remarks in text)	year 1	year 2	year 3	
	6/88-	6/89-	6/90-	
-		5/90	5/91	Total
Manloading (no./yr.)		man-mo	nths	
<del>-</del>				
Professorial Faculty (2,2,2)				
Scientific Staff (5,5,6)	54	<b>60</b>	72 7:	186
Professional/Tech. Staff (2,3,3)	24	3 <b>6</b>	36	96
Secretarial/Clerical (1,1,1)	6	_6	_6	18
Graduate Res. Ass't. (5,6,7)	40	72	84	216
Undergrad. Res. Ass't (3,3,3)	9	9	9	27
Other (CSR Admin. Support)	9.7	10.4	10.5	30.6
		COSTS	( <b>\$</b> K)	
Salaries:				
m	05000	7/000	70700	100700
Professorial Faculty	25800	36200	38300	100300
Scientific Staff	175900		242500	614100
Professional/Tech. Staff	70700		126100	315800
Secretarial/Clerical	11700		13300	
Graduate Res. Ass't.	59800	76100	94100	
Undergrad. Res. Ass't	11000	11600	12300	3 <b>4900</b>
Other	39700	486 <b>00</b>	558 <b>00</b>	144100
Total salaries	3 <b>94800</b>	499800	5924 <b>00</b>	1477000
Staff Benefits	152369	195280	228040	575689
Supplies and expenses:				
· Materials and services	54400	67400	77400	199200
	8400		8400	25200
Telephone and Postage	2000		2000	6 <b>000</b>
Publications				29100
Allocated expense	85 <b>00</b>	10000	10600	27100
Total supplies and expenses	73300	87800	98400	2595 <b>00</b>
Domestic Travel	44222	46000	48000	138000
Foreign Travel	3100	99 <b>00</b>	35 <b>00</b>	16500
Equipment	300000	200800	200000	700000
		<del></del>		
TOTAL DIRECT COST	967569	1038780	1160340	3166689
			/ 555 4 4	4-0
Overhead	397203	522812	605014	1525029
TOTAL ESTIMATED COST	1364772	1561592	1765354	4691718

MIT Subcontract, con'd.

#### Salariesa

Amounts are based on the manloading shown. Actual salary FY88 rates are used, with estimates for people to be appointed. Staffing is identified in section VII. All salary amounts are inflated to future years at 6% per year.

Faculty salary allocations are in accordance with MIT policy.

#### Other direct costs:

Amounts shown for supplies and expenses, domestic and foreign travel, and equipment are budgetary estimates based upon informal discussions with MIT. MIT will submit a proposal to Caltech supporting and justifying these costs, and the resulting negotiated contract will reflect such supporting data.

#### Burden rates:

MIT staff benefits are based on total salaries except undergraduate students. MIT overhead rates are applied to total direct costs less equipment. Rates used for this estimate, obtained informally from MIT, are as follows:

	FY88	FY89	FY9 <b>0</b>	FY91
Staff benefit rate	0.391	0.400	0.400	0.400
Overhead rate	0.565	0.610	0.430	0.630

Rates are applied to appropriate estimated amounts by fiscal year, and then summed into the proposed grant performance periods.

Budget explanation page 7		Supporting	detail,	Line G5
MIT Subcontract, con'd.		year 1	year 2	year 3
Domestic Travel: .	Estimated base cost per trip* (FY88 *)	•		
<ol> <li>Trips to NSF, Wash., DC</li> <li>4 man-trips/yr</li> </ol>	4 <b>00</b>	25 <b>00</b>	2600	2800
2. Trips to Caltech 16 man-trips/yr	2000	33200	353 <b>00</b>	37400
3. Trips to Design Contractor 5 man-trips	1000	5200		
4. Trips to LIGO sites 3 man-trips/yr	15 <b>00</b>		4900	4300
<ol><li>Trips to scientific conferences</li><li>man-trips/yr</li></ol>	1500	3100	3300	3500
Total Domestic Travel:		44000	46100	48000
Foreign Travel:				
<ol> <li>Trips to Int'l conferences</li> <li>trip, 1 person (years 1 &amp; 3)</li> <li>trip, 3 people (year 2)</li> </ol>	3000	3100	99 <b>00</b>	3500
Total Foreign Travel:		3100	9900	3 <b>500</b>

Budget explanation page 8	Supporting	detail,	Line G5
MIT Subcontract, con'd.			
Equipment: (see discussion in sections VI-A,B of text)	year 1	year 2	year 3
1. Computing and data acquisition equip.			
Discless engineering work stations (6)	30000		
CAMAC data acquisition modules, bin	75000	20000	20000
Ancillary electronics	7500	6000	6000
2. Optics, mirrors, test masses			
set of 4" dia. masses (9 mirrors)	65000	65 <b>000</b>	45 <b>000</b>
<pre>small low-loss mirrors (mode   cleaners, beam steering, etc.)</pre>	375 <b>00</b>	24000	24000
Argon lasers and tubes	<b>65000</b>	65000	65000
Small optical components	20000	20000	20000
	,		
Total equipment:	300000	200000	200000

Budget explanation page 9

Supporting detail, line G6

year 2 year 3 year 1

Line G5 - Subcontracts (con'd)

1. MIT Subcontract Total (from page 5)

1364772 1561592 1765354

2. Preliminary engineering design subcontract -

See section VI-F for work definition See section VIII text for discussion

4364772 1561592 1765354

Line G6 - Other:

Line G5 Total:

1. JPL support work order -

260000 275000

3000000

Assume 2 m-years/yr @ \$125K/yr (FY88) (includes JPL burdens)

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Current and Pending Support for Research and Education in Science and Engineering

<b>⊶</b> i	Name of Principal Investigator R.E. Vogt	Source of Support	Project Title	Award Amount (or Annuai Rate)	Period Covered by Award	Person- Effort th	Person-Months or % of Effort Committed to the Project	1% of d to	Location of Research
						ACAD.	ACAD. SUMM. CAL. YR.	CAL. YR.	
	A. Current Support List—it none, report none	NSF .	Notes 1 & 2	\$3,196, 719	3/1/87- 2/29/88			100%	Caltech/MIT
	B. Proposals Pending 1. List this proposal	NSF	Caltech/MIT LIGO	18,118, 396	6/1/88- 5/31/91			100%	Caltech/MIT
	2. Other pending proposals, including renewal applications. If none, report none.	NONE		-					
	3. Proposals planned to be submitted in near future. If none, report none.	NONE							
<del>=</del>	Name of co-principal investigator and/or faculty selection  See attached sheets  B.								
≡	Transfer of Support If this project has previously been funded by another agency, please list and furnish information for immediately preceding funding period.								
≥	Other egencies to which this proposal has been will be submitted								
	Z	of this half of the condition of the contract	1						

USE ADDITIONAL SHEETS AS MECESSARY

NSF FORM 1238 (1-87)

"Investigations in Experimental Gravity and Gravitational Radiation" (Caltech: Drever PI) "Interferometric Broadband Gravitational Antenna" (MIT: Weiss, PI) 7,

Current and Pending Support for Research and Education in Science and Engineering

Name of	Investigator		107.4	Award Amount (or Annual	Period Covered	Person- Effort	Person-Months or % of Effort Committed to	% of to	
R U P Drever	Drawer	inddes to speed			Dy Award	5	e Project		Location of Research
						ACAD.	SUMM. CAL. YR.	AL YR	
A. Current Support List—If none, rep	Current Support List—If none, report none	NSF	Investigations in Experimental Gravity	\$1,896, 719	3/1/87- 2/29/88			80%	Caltech
B. <i>Proposals Pending</i> 1. List this proposal	Proposals Pending 1. List this proposal	NSF	Caltech/MIT LIGO	18,118 396	6/1/88- 5/31/91			80%	Caltech/MIT
2. Other I includi tions. Ii	2. Other pending proposals, including renewal applica- tions. If none, report none.	NONE							
3. Propos submiti	3. Proposats planned to be submitted in near future. If none, report none.	NONE	ng dan da dan da dan da dan da dan da dan da						
Name of co- and/or facult A	Name of co-principal investigator and/or feculty associate  A.						***************************************		
Transfer of Support if this project has pre funded by another a list and furnish infort immediately precedit period.	Transfer of Support if this project been, indeed by another spency, please list and furnish information for immediately preceding funding period.								
Other agenc proposal ha submitted	Other agencies to which this proposal has been/will be submitted								

USE ADDITIONAL SHEETS AS NECESSARY

NSF FORM 1238 (1-87)

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22

Current and Pending Support for Research and Education in Science and Engineering

,	The following information should be provided for	ouid be provided for each investige	each investigator and other senior personnel. Failure to provide this information may delay conaideration of the proposal.	lure to provid	• this inform	itton may de	May consk	ferellon c	of the propossi.
I. Name of K.S.	fame of investigator K.S. Thorne	Source of Support	Project Tile	Award Amount (or Annual Rate)	Period Covered by Award	Person-Months or % of Effort Committed to the Project	anths or % mmitted to Project	5	Location of Research
						ACAD. SL	SUMM. CAL. YR.	۲R	
A. Cura	A. Current Support Llat—if none, report none	NSF	Relativistic Astrophysics	\$221, 500	11/1/87-		5	20%	Caltech
B. <i>Prop</i> 1. Lig	B. Proposals Pending 1. List this proposal	NSF	Caltech/MIT LIGO	18,118, 396	6/1/88- 5/31/91		20	20%*	Caltech/MIT
2.0 3.0	2. Other pending proposals,	1. NASA **	Theoretical Studies	270,000 FY88-90	FY88-90			15% Ca	Caltech and other
i S	Mont. Il none, report none.	2. NASA **	or Acn Investigations of Solar Oscillations	160,000 FY88-90	FY88-90		<i>-</i> =-	15% Cc	institutions. Caltech and other institutions.
S. Pre	3. Proposets planned to be submitted in near future. If none, report none.	NONE			-				
ii. Name of	Name of co-principal investigator and/or faculty associate	•							
Α.									
ei			·						
III. Transfer II this pro- funded b list and f immedia period.	Transfer of Support If this project has previously been, funded by another agency, please list and furnish information for immediately preceding funding period.								
IV. Other age proposal b submitted	Other agencies to which this proposal has been/will be submitted								

\*20% included in 50% shown for Thorne's current NSF grant; Thorne would receive no salary from this grant.
\*\*Thorne would receive no salary from these pending grants. Pis on these grants are 1) Roger Blandford and 2) Peter Goldreich, Thorne is a Co-I.

NSF FORM 1239 (1-87)

Current and Pending Support for Research and Education in Science and Engineering

The following	I he lollowing information should be provided for	7 00 DIN	dro <i>vio</i> eg fo <i>r eech in</i> veringen	each investigator and other senior personnes. Failure to provide this information may delay consideration of the proposal.	oracid or ains	e inse imovina	aron may	cerey con	SIGNATION	n or the proposal.
i. Name of In	Investigator		Source of Support	Project Title	Award Amount (or Annual Rate)	Period Covered by Award	Person-b Effort (	Person-Months or % of Effort Committed to the Project	% of _	Location of Research
	• • •						ACAD. SUMM.		CAL. YR.	-
A. Current Support		-	NSF	Broadband Grayitar	\$1,300	3/1/87-			į	
Listif none, report none	ort none	•	NA NA	tional Antenna	1.516.	2/29/88 8/83~8/89	6		65%	MIT
		•	שמשוו	Mission	7,71	,			15%	MIT
B. Proposats Pending 1. List this proposat	97	!	NSF	Caltech/MIT L1G0	18,118, 396	6/1/88- 5/31/91			65%	Caltech/MIT
2. Other pending proposals, including renewal applications. If none, report none.	proposals, ral applica- sport none.		NONE							,
3. Proposals planned to be submitted in near future. Il none, report none.	hed to be ar future. none.		NONE							
II. Name of co-principal investigator and/or faculty associate	i investigator late									
A										
III. Transfer of Support If this project has previously been, funded by another agency, please, list and furnish information for immediately preceding funding period.	Mousty been, percy, please nation for a funding									
IV. Other agencies to which this proposal has been/will be submitted	Alch this Fill be									
	<b>-</b>									

USE ADDITIONAL SHEETS AS NECESSARY

NSF FORM 1239 (1-67)

Current and Pending Support for Research and Education in Science and Engineering

To be appointed, Caltech Amount for Polect Title Amount of Source of Support NONE NONE Source of Support NONE Sour	:	Name of Investigator			Award					
Caltech/MIT LIGO   \$18,118   6/1/88   602		To be appointed, Caltech		Project Title	Amount (or Annual Rate)	Period Covered by Award	Person Effort	Months o Committe	7 % 0 0 00 0 00	Location of Research
A Current Support  1. List — In none, report none  B. Proposate Pending  1. List this proposate  1. List this proposate  2. Other pending proposate, Including renewal application.  3. Officious parameter to report none.  3. Officious parameter to report none.  3. Submitted to near future.  Name of co-principal investigator and/or faculty associate  A  A  A  A  Caltech/MIT LIGO  \$118,118 6/1/88 602  \$602  \$731/91  \$602  \$731/91  \$602  \$731/91  \$602  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91  \$731/91	- 1						ACAD.		CAL. YR.	
B. Proposate Pending I. List this proposate I. List this proposate Including entered applications  3. Projects to pending proposate, including entered to be submitted in near future. If none, report none. If none, report			NONE			!				
2. Other pending proposals, including renewal applications, linkuding renewal applications, linkuding renewal applications, linkuding renewal applications, linkud to make future.  3. Proposals planned to be submitted in near future.  It none of co-principal invasigator and/or faculty associate  A. A		B. Proposals Pending 1. List this proposal	NSF	Caltech/MIT LIGO	\$18,118 396	6/1/88 5/31/91			209	Caltech/MIT
3. Projocale planned to be submitted in near future.  If none, report none.  Name of co-principal investigator end/or faculty associate  A.  B.  Transfer of Support it this project has previously been, firthe project has previously been, firthe project has previously been, firthe project has previously been.  Other agencies to which this proposal has been/will be submitted.		2. Other pending proposals, including renewal applica- tions. If none, report none.	NONE							
Name of co-principal larvestigator and/or faculty associate  A. B. B. Transfer of Support It this project has previously been, funded stand funds agency, pleased list and furnish information for immediately preceding funding portod.  Other agencies to which this proposal has been/will be submitted		3. Probosals planned to be submitted in near future. If none, report none.	NONE							
Transfer of Support  If this project has previously been, funded by another agency, pleased list and furnish information for immediately preceding funding portiod.  Other agencies to which this proposal has been/will be submitted	<b>=</b>	•								
Transfer of Support If this project has previously been, funded by another agency, pleased list and furnish information for immediately preceding funding portod.  Other agencies to which this proposal has been/will be submitted		B	,							
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USE ADDITIONAL SHEETS AS NECESSARY

NSF FORM 1238 (1-87)

22

Current and Pending Support for Research and Education in Science and Engineering

The following information and	uid be provided for each investiga	The following information should be provided for each investigator and direct sensor has information may detay consideration of the proposal.	nure to provid	e inis iniorm	ation may d	elay consi	deration	of the proposal.
. Name of investigator To be appointed, MIT	Source of Support	Project Title	Award Amount (or Annual Rate)	Period Covered by Award	Person-Months or % of Effort Committed to the Project	onths or % wmmitted 1 Project	, o	Location of Research
•					ACAD. SI	SUMM. CAL. YR	il. YR.	
A. Current Support List—If none, report none	NONE							
B. Proposals Pending 1. List this proposel	NSF	Caltech/MIT LIGO	\$18,118,	6/1/88			209	Caltech/MIT
2. Other pending proposals, including renewal applications. If none, report none.	NONE							
3. Proposats planned to be submitted in near future. If none, report none.	NONE			ï				
II. Name of co-principal investigator and/or faculty associate A. B.			,					
III. Transfer of Support If this project has previously been, funded by another agency, please list and furnish information for immediately preceding funding period.								
IV. Other agencies to which this proposal has been/will be submitted								

USE ADDITIONAL SHEETS AS NECESSARY

NSF FORM 1239 (1-67)

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# X. VITAE

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#### Rochus E. Vogt

Born:

December 21, 1929, Germany (FRG)

U.S. Citizen

Present

R. Stanton Avery Distinguished Service Professor and

Position:

Professor of Physics

Director, Caltech/MIT Laser Interferometer Gravitational-

Wave Observatory (LIGO) Project ...

Education:

1952-cand. phys. Technische Hochschule Karlsruhe, FRG

Universität Heidelberg, FRG

1957-S.M.

University of Chicago

1961-Ph.D.

University of Chicago

Positions:

1953-1961 Research Assistant, Enrico Fermi Institute for

Nuclear Studies, University of Chicago

1961-1962 Research Associate, Enrico Fermi Institute for

Nuclear Studies, University of Chicago

1962-1965

Assistant Professor of Physics, Caltech

1965-1970 Associate Professor of Physics, Caltech

1970-present

Professor of Physics, Caltech Chairman of the Faculty, Caltech

1975–1977 1977–1978

Chief Scientist, Jet Propulsion Laboratory (JPL),

Caltech

1978-1983

Chairman, Division of Physics, Mathematics and

Astronomy, Caltech

1980-1981

Acting Director, Owens Valley Radio Observatory,

Caltech

1982-present

R. Stanton Avery Distinguished Service Professor,

Caltech

1983-1987

Vice President and Provost, Caltech

1987-

Director, Caltech/MIT Laser Interferometer

Gravitational-Wave Observatory (LIGO) Project

## Research:

Research on astrophysical aspects of cosmic radiation and in gamma-ray astronomy.

Gravitational Wave Astronomy.

Co-investigator (1962–1969) and Principal Investigator (1969–1983) on NASA grant supporting space research at Caltech. Principal Investigator on NASA's Voyagers 1 and 2 missions (1972–1984). Co-investigator on cosmic ray experiments on NASA's OGO-6, IMP 7 and 8, HEAO-3, ISEE-3 missions.

Other Activities: 1963-present 1971-1973 Various consultantships with government and industry Panel on Alternate Approaches to Graduate Education

(Council of Graduate Schools in the US)

1973-1976

Physical Sciences Committee (NASA)

1984-present

Member of the Board of Directors, International Rectifier

Corporation

1985-1987

Member of the Board of Directors, California Association for

Research in Astronomy

Professional

American Physical Society (Fellow)

Societies:

American Association of Physics Teachers

American Association for the Advancement of Science

Honors:

Member, Studienstiftung des deutschen Volkes (1950-1953)

Fulbright Fellow (1953-1954)

Professional Achievement Award (1981), University of Chicago Alumni

Association

NASA Exceptional Scientific Achievement Medal (1981)

R. Stanton Avery Distinguished Service Professor, Caltech (1982)

## Ronald William Prest Drever

Born:

October 26, 1931, Scotland

British Citizen

Present

Professor of Physics

Position:

California Institute of Technology (Caltech)

Education:

1953-B.S. Glasgow University 1958-Ph.D. Glasgow University

Positions:

1956-1960 Research Fellow, Glasgow University

1960–1967

Lecturer, Glasgow University

1960-1961

Research Fellow, Harvard University, (LOA, Glasgow University)

1967-1973

Senior Lecturer, Glasgow University

1973-1975

Reader, Glasgow University

1975-1979

Titular Professor, Glasgow University

10/77-1/78

Visiting Associate, Caltech

1979–1984

Professor of Physics, Part-time, Caltech

1979-1984

1000 1070

Professor of Physics, Part-time, Glasgow University

1984-present

Professor of Physics, Caltech

#### Research:

Ph.D. and post-doctoral work in experimental nuclear physics and low energy beta spectroscopy, including experiments relevant to neutrino mass, and on spectrum and half-life of rhenium 187 setting limits to change of fine structure constant with geological time. Experiments on anisotropy of inertial mass by nuclear free precession in the earth's magnetic field. Work on Mossbauer effect, at Harvard; on studies of cosmic rays by atmospheric Cerenkov radiation, at Harwell; on pulse radio astronomy and other astronomical experiments.

Worked on gravitational radiation experiments since 1972. Developed wide-band gravity-wave bar detectors and made first extensive searches for pulses; stochastic background radiation with them. Developed laser interferometer gravity wave detection techniques using optical cavities, first at the University of Glasgow, subsequently at Caltech. Head of the Experimental Gravity Group at Caltech, and Co-investigator in proposed large scale Caltech/MIT LIGO project.

Other	
Activities:	

1968-1972	Consultant and Vacation Assistant, Atomic Energy Research
	Establishment, Harwell (U.K. Atomic Energy Authority)
1972–1974	Member of the Astronomy Policy and Grants Committee,
	(U.K. Science Research Council)
1972-1976	Council Member of the Royal Astronomical Society
1973-1976	Vice President of the Royal Astronomical Society
1974	Consultant, National Science Foundation (U.S.A.)
1974-1976	Royal Astronomical Society Assessor to the Astronomy I Committee
1979	Morris Loeb Lectureship in Physics, Harvard University
1985	Ernest Guptill Memorial Lecturer, Dalhousie University, Canada

Professional

Fellow of the Royal Society of Edinburgh

Societies:

Member of the International Society of General Relativity on Gravitation

## Kip S. Thorne

Born:

June 1, 1940, Logan, Utah, USA

U.S. Citizen

Present

The William R. Kenan, Jr., Professor, and Professor of

Positions:

Theoretical Physics, Caltech

Adjunct Professor Physics, University of Utah

Andrew D. White Professor at Large, Cornell University

Education:

1962 B.S.

California Institute of Technology

1963 A.M. 1965 Ph.D. Princeton University Princeton University

Positions:

1965-1966

Postdoctoral Fellow in Physics, Princeton

1966-1967

Research Fellow in Physics, Caltech

1967-1970

Associate Professor of Theoretical Physics, Caltech

1970-present

Professor of Theoretical Physics, Caltech

1971-present 1981-present Adjunct Professor of Physics, University of Utah The William R. Kenan, Jr., Professor, Caltech

1986-present

Andrew D. White Professor at Large, Cornell

Research:

Theoretical physics, gravitation physics, astrophysics.

Other

International Committee on General Relativity and Graviation,

Activities:

1971-1980

Committee on US-USSR Cooperation in Physics, 1978–1979

Advisory Board, Institute for Theoretical Physics, Santa Barbara,

**1978–19**80

Space Science Board, 1980-1983

Honors:

Fulbright Lecturer in France, 1966

Alfred P. Sloan Foundation Research Fellow in Physics, 1966-1968

John Simon Guggenheim Fellow, 1967-1968

AIP-U.S. Steel Foundation Science Writing Award in Physics

and Astronomy, 1969

Fellow, American Academy of Arts and Sciences, 1972-present

Member, National Academy of Sciences, 1973-present Honorary Doctor of Science, Illinois College, 1979 Doctoris Honoris Causa, Moscow University, 1981

Professional Societies:

American Astronomical Society International Astronomical Union

American Physical Society, Fellow

American Association for the Advancement of Science, Fellow

#### Rainer Weiss

Born:

September 29, 1932, Berlin, Germany

U.S. Citizen

Present

Position:

Professor of Physics, Massachusetts Institute of Technology

Education:

1955-B.S.

Massachusetts Institute of Technology

1962-Ph.D.

Massachusetts Institute of Technology

Positions:

1960-1961

Instructor of Physics, Tufts University

1961-1962 1962-1964 Assistant Professor of Physics, Tufts University Research Associate in Physics, Princeton University

1964-1967

Assistant Professor of Physics, M.I.T.

1967-1973

Associate Professor of Physics, M.I.T.

1973-present

Professor of Physics, M.I.T.

#### Research:

Experimental Atomic Physics, Atomic Clocks, Laser Physics, Experimental Gravitation, Millimeter and Sub- millimeter Astronomy, Cosmic Background Measurements, Major Projects: Atomic Clock development, Balloon program to measure Cosmic Background Radiation, Science Working Group Chairman, COBE satellite

program, Laser Interferometer Gravitational- Wave Observatory (LIGO)

Professional

American Association for the Advancement of Science

Societies:

American Physical Society

Other

NASA Physical Science Committee, 1970-1974

Activities:

National Academy Summer Study on Outer Planet Exploration, 1972

NASA Management Operations Working Group for Shuttle Astronomy, 1973–1976 NASA Management Operations Working Group for Airborne Astronomy, 1973–1986

Chairman, NASA Panel on Experimental Relativity and Gravitation, 1974-1976

NCAR Scientific Ballooning Advisory Panel, 1971-1978

Chairman, NCAR Scientific Ballooning Advisory Panel, 1974-1978

Members' Representative to NCAR from M.I.T., 1974-1982 Chairman, NSF Subcommittee on Gravitational Physics, 1978

NASA SSSC Committee, 1979–1982 NASA Infrared Detector Panel, 1978

NASA Space and Earth Science Advisory Committee, 1982

National Academy Space Science Board, 1983-1986

Panel Chairman on Fundamental Physics and Chemistry, National Academy Summer Study, Major Directions for Space Research 1995-2015, 1984-86

Panel for the Joint Institute of Laboratory Astrophysics, Board on Assessment of NBS Programs, National Academy of Sciences, 1985-

#### Alex Abramovici

Born:

May 14th, 1945. U.S.S.R.

Israeli Citizen

Present

Staff Scientist, Gravitational Physics,

Position:

California Institute of Technology

Education:

University of Timisoara, Romania

1968-M.Sc. 1985-Ph.D.

Weizmann Institute of Science, Israel

Positions:

1985-1986

Postdoc, Weizmann Institute of Science

1986-1987

Scientist, Weizmann Institute of Science

Research:

Laser physics, laser stabilization, single mode operation of lasers, very low noise He-Ne lasers, laser heterodyne measurements, high efficiency seismic isolation systems, noise analysis, measurement of very small displacements

using laser interferometers, laser gravitational wave detectors.

Honors:

The Giora Yashinski Memorial Prize (1984)

# William E. Althouse

Born:

December 2, 1942, Los Angeles, California

U.S. Citizen

Present

Member of the Professional Staff, Caltech

Position:

Chief Engineer, Caltech/MIT Laser Interferometer

Gravitational-Wave Observatory (LIGO) Project

Education:

1968-B.S.E.E.

California State Polytechnic University

Positions:

1963–1964 Electronics Technician, Caltech 1964–1965 Junior Engineer, Caltech 1965–1969 Associate Engineer, Caltech 1969–1972 Electronics Engineer, Caltech

1972–1979 1979–1981 Senior Engineer, Caltech Technical Manager, Caltech

1981-

Member of the Professional Staff, Caltech

1981–1987 1987– Chief Engineer, Space Radiation Laboratory, Caltech Chief Engineer, Caltech/MIT Laser Interferometer

Gravitational-Wave Observatory (LIGO) Project

#### Research:

Developed, managed, supervised and/or participated in gamma-ray astronomy and high energy charged particle experiments: Team Leader, balloon-borne gamma-ray imaging observations of SN1987a; engineering supervisor, Heavy Ion Counter experiment, Galileo Project; engineering supervisor, Gamma-Ray Imaging Payload Project; Experiment Manager, Comprehensive Particle Analysis System, International Solar Polar Mission; Experiment Manager, Heavy Isotope Spectrometer Telescope experiment, International Sun-Earth Explorer Project; Deputy Experiment Manager, Cosmic Ray Subsystem, Voyager Project; Project Manager, Electron-Isotope Spectrometer, Interplanetary Monitoring Platforms 7 and 8; Project Engineer, Galactic Cosmic Ray Experiment, Orbiting Geophysical Observatory Project.

Other

Activities:

Assistant Chairman, Nuclear Science Symposium, 1979-1985

Professional Societies: Institute of Electrical and Electronics Engineers IEEE Nuclear Sciences and Plasma Society

IEEE Aerospace and Electronic Systems Society

**IEEE Computer Society** 

IEEE Instrumentation and Measurement Society

IEEE Lasers and Electro-Optics Society

### Richard L. Benford

Born:

February 25, 1942

British Citizen

Present Position:

Sponsored Research Technical Staff, Massachusetts Institute of Technology

Education:

1962

Ordinary National Certificate Mechanical Engineering

Acton Technical College, Acton, London, England

1978

Bachelor of Engineering Technology Electrical Engineering,

Northeastern University

Positions:

1959-1963

Napier Aero Engines, Acton, London, England Cryonetics Corporation, Burlington, MA., U.S.A.

1963-1965 1966-1967

Spembly Technical Products, Ltd., Sittingbourne, Kent, England

1967-1969

University of Western Ontario, London, Ontario, Canada

1969-present

Massachusetts Institute of Technology, Cambridge MA, U.S.A.

#### Research:

Gravitational wave detection: Resident Engineer—worked with project leaders on Gravity wave antenna prototype and vacuum system design, liason with contractor and suppliers of raw materials. Measurement of cosmic background radiation: mechanical design and field support of balloon borne Infrared experiment. Technical aide to physics department head: maintained undergraduate physics laboratory. Lab Technician: research and development of liquid nitrogen level controllers and cryosurgical instruments for eye surgery. Testing, instrumenting and evaluating test data on miniaturised liquid helium and nitrogen compressors. Gas turbine aircraft engine fitting, inspection and certification, diesel electric engine repair and assembly.

### E. Michael Burka

Born:

October 17, 1957, Washington, D.C.

U.S. Citizen

Present

Position:

Research Scientist, Massachusetts Institute of Technology

Education:

1979-B.A. 1981-M.A. University of Pennsylvania

1981-M.A. 1985-Ph.D. Johns Hopkins University
Johns Hopkins University

Positions:

1979-1982

Teaching Assistant, Johns Hopkins University

1980-1985

Research Assistant, Johns Hopkins University

1985-1987

Postoctoral Research Associate, MIT

1987-present

Research Scientist, MIT

Research:

High Energy Physics

Gravitational Wave Astrophysics

Professional

American Physical Society

Societies:

American Association for the Advancement of Science

Honors:

Pi Mu Epsilon, elected 1978

# Andrej Marjan Čadež

Born:

September 12, 1942, Yugoslavia

Yugoslav Citizenship

Present

Visiting Associate in Physics

Position:

California Institute of Technology (Caltech)

Education:

-B.S.

University Edvard Kardelj in Ljubljana

1971-Ph.D.

University of North Carolina, Chappel Hill

Positions:

1974

Research Fellow, Meudon, France

1976

Research Fellow, Oxford University (U.K.)

9/86-8/87

Visiting Associate in Physics, Caltech

1983-present

Director, Astronomical Observatory in Ljubljana

1982-present

Professor of Physics, University Edvard Kardelj in Ljubljana

Research:

Development of a gravity gradiometer.

Theoretical and experimental aspects of gravitational wave detection. Development of mechanical oscillators with long relaxation times.

Experimental and theoretical studies in test masses used for detection of gravita-

tional waves by laser interferometers.

Development of techniques for stabilizing and precisely controlling the position of

suspended test masses.

Honors:

Fulbright award for graduate study (1967)

Scholarship of Slovenian Science Foundation (1974)

Fellowship for 6 months research at Oxford University (U.K.)(1976)

Award of Boris Kidric Foundation (1976) Fulbright Scholar at Caltech (9/86-8/87)

# Ernest J. Franzgrote

Born: December 25, 1930, Peoria, Illinois

Present Assistant to the Director, Caltech/MIT Laser Interferometer

Position: Gravitational-Wave Observatory (LIGO) Project

Education: 1957-MS California Institute of Technology

1959–1961 University of Munich

Positions: 1957-1959 Research Scientist and Engineer (JPL), Caltech

1961-1971 Co-Investigator, Surveyor Project (JPL), Caltech
1971-1974 Senior Research Scientist and Engineer (JPL), Caltech
1974-1981 Experiment Representative, Voyager Project (JPL), Caltech

1981–1986 Project Manager Representative (JPL), Caltech

1986–1987 Senior Executive Assistant to the Provost, Caltech

1987- Assistant to the Director, Caltech/MIT Laser Interferometer

Gravitational-Wave Observatory (LIGO) Project

Research:

Research on chemical separation processes.

Development of methods for analysis of the Martian atmosphere.

Geo- and selenochemical studies.

First analysis of the lunar surface as co-investigator on

NASA's Surveyor 5-7 missions.

Fields and particles studies on the outer planets.

Analysis of flights of remotely-piloted vehicles, human-powered and solar-powered airplanes,

and of the Trochilidae.

Honors: Letters of commendation from managers of the Remotely

Piloted Vehicle Project, 1982 and 1985.

NASA's Exceptional Service Medal, Voyager Project, 1981.

Participant in three projects that have received the Collier

Trophy in aviation and space flight.

Shared in the Kremer Prize for the first human-powered flight

across the English Channel, 1980.

#### Halis Yekta Gürsel

Born:

May 15, 1953, The city of Samsun, Turkey

Citizen of The Republic of Turkey

Permanent resident of the U.S., Visa # A24937520

Present

Staff Scientist

Position:

California Institute of Technology (Caltech)

Education:

1975-B.S.

Middle East Technical University, Ankara, Turkey

1982-Ph.D.

Caltech

Positions:

1982-1984

Associate Experimental Physicist, Caltech

9/84-7/87

Research Scientist, MIT

7/87-present

Staff Scientist, Caltech

Research:

Conducted advanced experimental research in the area of gravitational and grav-

itational radiation.

Conducted work on the "Digital Orrery" Project.

Designed and constructed high speed computing machinery to solve physical

problems, at MIT AI Laboratory.

Completed the layout of the Scheme86 processor.

Completed the design and the feasibility of the Fast Floating-Point Processor.

# Jefferson H. Harman

Born:

September 10, 1937, U.S.A.

U.S. Citizen

Present Position:

Principal Electronic Engineer
California Institute of Technology

Education:

1959-B.E.E. Georgia Tech

1969-M.S.

U.C.L.A.

Positions:

1959-1963 Junior Engineer, Collins Radio Company

1963–1965 1965–1966 Engineer, Spacecraft, Inc.

1966-1967

Engineer, Beckman Instrument Company Project Engineer, Raytheon Computer

1966–1967 1967–1968

Group Leader, Analog Circuits; Allen Electric, UTI Division

1968-1970

Principal Member/Technical Staff, Scientific Data Systems, Inc.

1970-1973

Manager of Servomechanism Design, Wangco, Inc.

1973-1974

Manager of Electronics, General Systems International, Inc.

1974–1981 1974–1981 Chief Engineer, Director of R and D, Persci, Inc. Director of Electrical Engineering, Persci, Inc.

1981-1983

Principal Consultant, Cambrian Consultants, Inc.

1983-1986

President, Harman Engineering Labs, Inc.

12/86-10/87

Electronic Engineer, Caltech

10/87-present

Principal Electronic Engineer, Caltech

#### Research:

Industrial research leading to the following U.S. patents:

3,143,707 Gated Dual Identical Channel AGC

4,027,217 Speed Control for DC Motors

4,270,073 Position Control in Disk Drive Systems 4,300,174 Guard Band Control for Magnetic Disks

4,306,257 Processing Read-back Signals in Magnetic Disks

Other patents pending

Professional

Societies:

Institute of Electrical and Electronics Engineers (IEEE)

#### Andrew D. Jeffries

Born:

December, 1951

U.S. Citizen

Education:

1983

Ph.D. Physics, Massachusetts Institute of Technology

Thesis title: "Angular and Spectral Distortions of the Cosmic Background

1977

A.B. Physics, University of California, Berkeley

Present

Position:

Experimental Research Physicist, MIT Center for Space Research

Positions:

1985

Research Staff, MIT Center for Space Research

1983 1979

1978

Postdoctoral Associate, MIT Physics Research Assistant, MIT Physics Teaching Assistant, MIT Physics

Other Activities: 1985 -

Senior Thesis Advisor Physics, Electrical Engineering

1986 -

Project Supervisor, Modern Optics Laboratory Undergraduate Research Opportunity Supervisor

1984 -1982-

Freshman Academic Advisor

Professional

Societies:

American Physical Society

Research:

Gravitational Wave Astronomy and Detectors

Modern Optics, Laser Physics

Experimental Cosmology, Cosmic Background Radiation

# Paul S. Linsay

Born:

August 13, 1947, Germany (FRG)

U.S. Citizen

Present

Position:

Principal Research Scientist, M.I.T.

Education:

1969-B.S.

M.I.T.

1970-M.S.

University of Chicago

1976-Ph.D.

University of Chicago

Positions:

1969-1970

Teaching Assitant, University of Chicago

1970-1976

Research Assistant, University of Chicago

1976-1979

Research Fellow in Physics, Caltech

1979-1982

Research Scientist, M.I.T.

1982 -

Principal Research Scientist, M.I.T.

Research:

Particle Physics: Kaon physics, neutrino scattering

Gravitational Wave Astronomy

Nonlinear Dynamics

Co-investigator (1980-) NSF grants supporting gravitational wave astronomy. Principal Investigator (1985-) ONR grant supporting

studies in nonlinear dynamics.

Professional

American Physical Society

Societies:

American Astronomical Society

### Jeffrey C. Livas

Born: March 27, 1959. Palmer, MA.

U.S. Citizen

Present Postdoctoral Associate, Massachusetts Institute of Technology

Positions: Scientist, MIT/Caltech Laser Interferometer

Gravitational Wave Observatory (LIGO) Project

Education: 1981 - S.B. Massachusetts Institute of Technology

1987 - Ph.D. Massachusetts Institute of Technology

Positions: 1981 - 1987 Research Assistant, Physics Department, MIT

1980 - 1981 Calculus recitation instructor, Concourse Program, MIT

Research:

Thesis research on experimental gravitational wave detection. Developed data analysis techniques to search for periodic sources of unknown period and direc-

tion.

Other 1986-present Educational Counselor Activities: 1982-1986 Freshman Adviser

1982-1986 Undergraduate Admissions Reader

Professional American Physical Society

Societies: American Association for the Advancement of Science

Sigma Xi

#### Boude C. Moore

Born:

March 11, 1925, Nagasaki, Japan

U.S. Citizen

Present

Position:

Engineer, California Institute of Technology

Education:

1948-B.S.E.E.

California Institute of Technology

1949-M.S.E.E.

California Institute of Technology

Positions:

1943-1946

Radio Technician, U.S. Navy

1947

Electronics Technician, Jet Propulsion Laboratory

1948

Draftsman, Northrop Aircraft Laboratory Assistant, Caltech

1948–1949 1949–1953

Instrumentation Engineer, Douglas Aircraft

1953-1965

Group Leader, Douglas Aircraft

1965-1974

Branch Chief, McDonnell-Douglas Co.

1974-1984

Section Chief, McDonnell-Douglas Co.

1984-1987

Consultant (self-employed)

1987

Engineer, LIGO Project, Caltech

#### Research:

Studied vacuum technology, both theoretical and experimental. Principal Investigator on NASA study of Molecular Wake Shield. Participated in the analysis and procurement of the McDonnell-Douglas 39-foot diameter space simulation chamber. Provided similar support for chambers at Westinghouse, RCA, Rock-

well, etc., also the Library of Congress book preservation project.

Other

Activities:

Chairman, AIAA Working Group on Space Simulation, 1980 Member, AIAA Technical Committee on Ground Test and

Simulation, 1973-1976

Member, AIAA Outlook for Space Committee, 1976

Chairman, Test Laboratory Managers Working Group, 1979 Member, ASTM E21 Committee on Space Simulation, 1981–1984

Professional

American Vacuum Society

Societies:

American Institute of Aeronautics and Astronautics Institute of Electrical and Electronics Engineers

#### Peter R. Saulson

Born:

October 30, 1954, Baltimore, Maryland

Present

Position:

Principal Research Scientist, MIT Department of Physics

Education:

1976-A.B.

Magna cum laude in Physics, Harvard University

1978-M.A. 1981-Ph.D. Physics, Princeton University Physics, Princeton University

Thesis: "Optical and Infrared Search for Massive Halos of Spiral Galaxies'

Positions:

1978-1980

Assistant in Instruction, Freshman Lab,

Princeton University

1980–1981 1981–1984 Assistant in Instruction, Modern Physics, Princeton University Postdoctoral Research Associate, MIT Department of Physics

1984-1985

Sponsored Research Technical Staff, MIT Center for Space Research

1986

Recitation Instructor, Freshman Physics, MIT

1985-present

Principal Research Scientist, MIT Department of Physics

Research:

Observational Cosmology

Gravitational Wave Astronomy

Professional

Societies:

American Astronomical Society

### Robert E. Spero

Born:

September 12, 1951. California

U.S. Citizen

Present

Member of the Professional Staff,

Position:

California Institute of Technology (Caltech)

Education:

1973-B.S.

U.C.L.A.

1976-M.A.

U.C. Irvine

1979-Ph.D.

U.C. Irvine

Positions:

1976-1979

Research Assistant

U.C. Irvine

1980-1983

Research Fellow

Caltech

1983-present

Member of the Professional Staff

Caltech

### Research:

Precision measurement of small forces and displacements, including use of

laser-interferometry and torsion balance.

Stabilization of lasers and resonant optical cavities.

Analog and digital electronics for servocontrol and signal processing.

High vacuum technology.

Precision metrology. Seismic isolation.